

# Progress towards a wave model enhanced SSB correction for multiple missions *and* Spline-based nonparametric estimation for the altimeter SSB correction

D. Vandemark<sup>1</sup>, N. Tran<sup>2</sup>, H. Feng<sup>1</sup>, L. Li<sup>1</sup>, F. Ardhuin<sup>3</sup>, B. Chapron<sup>3</sup>

<sup>1</sup>University of New Hampshire, Durham, NH

<sup>2</sup>CLS / Space Oceanography Division, Ramonville St-Agne, France

<sup>3</sup>IFREMER / Centre de Brest, Plouzane, France

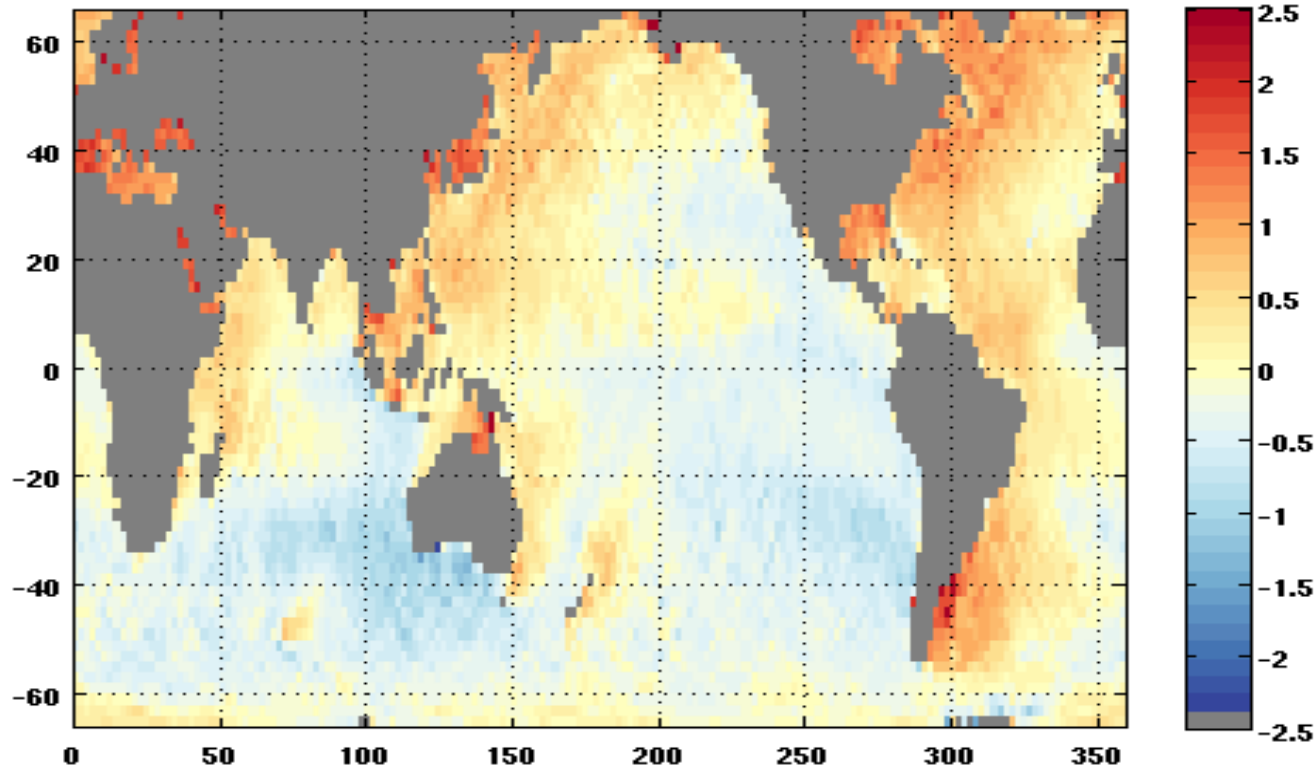
# Outline

- Correction model chain
- Alternative/complementary NP solution
- MLE3-MLE4 results related to 3D modeling
- Updates in wave modeling

Premise: Significant improvement (20%) gained in overall error budget with wave model addition to SSB

Tran. N., D. Vandemark, S. Labroue, H. Feng, B. Chapron, H. Tolman, J. Lambin, N. Picot, The sea state bias in altimeter sea level estimates determined by combining wave model and satellite data, J. Geophys. Res., 115, C03020, 10.1029/2009JC2009005534, 2010.

Mean of difference:SSB(3p-Tm ) - SSB(2p) (cm)



Altimeter Inputs:

- SWH
- Wind speed (sigma0)

Wave model inputs:

- Mean wave period
- Elevation of swell
- Total slope variance

# SSB: a shifting semi-empirical model

SSB model for each Altimeter Mission dataset incl. tracking/retracking impact (SWH, Sigma0, wind speed +? : T/P, J1, J2, RA-2, GFO, ERS)

Training data

Modeling

Validation &  
Impacts

GDR  
Application

# SSB: a shifting semi-empirical model

SSB model for each Altimeter Mission dataset incl. tracking/retracking impact (SWH, Sigma0, wind speed +? : T/P, J1, J2, RA-2, GFO, ERS)

## Training data

**Predictors:**  
SWH, wind  
wave model  
params.?

**Response:**  
direct SLA or  
collinear/  
crossover

Moving targets

## Modeling

**NP models:**  
Kernel smooting to  
date

Alternatives?

**Geophysical+  
empirical:**  
known need for  
SWH, wind +  
intermediate wave  
age information

## Validation & Impacts

**Validation:**  
global  
regional  
temporal  
uncertainty?  
coastal?

**Impacts:**  
sea level rise  
cal/val  
mdt/mss  
mesoscale

## GDR Application

**Data Inputs:**  
stability  
accuracy  
HF response

Moving targets

## Multi-mission SSB solutions – 3D+ models

|                | DONE OR ON-GOING                 |                    |  | TO BE DONE         |                    |            |
|----------------|----------------------------------|--------------------|--|--------------------|--------------------|------------|
|                | Products                         | WW3                | SSB Models   | Products           | WW3                | SSB Models |
| <b>TOPEX-B</b> | NASA/GSFC<br>pathfinder          | v2<br>2000-2003    | 2D per class                                       | -                  | -                  | -          |
| <b>JASON-1</b> | GDR_A                            | v2<br>2002-2004    | 2D per class<br>3D_Tm<br>3D_Hswell<br>3D per class | GDR_C              | v3.14<br>2002-2010 | 3D_Tm      |
|                | GDR_C                            |                    | 3D_Tm<br>3D per class                              |                    |                    |            |
| <b>JASON-2</b> | GDR_T<br>+ CLS reprocessed data* | v3.14<br>2008-2009 | 3D_Tm  | GDR_C              | v3.14<br>2008-2010 | 3D_Tm      |
| <b>ENVISAT</b> | GDR_B<br>+ GDR_C orbit           | v3.14<br>2006-2007 | 3D_Tm  | Reprocessed<br>GDR | v3.14<br>2002-2010 | 3D_Tm      |

\* see N. Tran's presentation

- Use of a stable and consistent source of wave model data : WaveWatch3 v3.14 + ECMWF forcing running at UNH to generate data over 2002-2010 period.
- Development of solutions as SSB (SWH, U, Tm) mainly across the multi-mission datasets.
- Need of long-time series of consistent altimeter products (ex: difference of improvement between GDR\_A and GDR\_C based models).

# SSB – a nonparametric modeling revisit using spline regression

## Problem:

Kernel smoothing approach is sensitive to adjustments in set up, costly in computation time, not easy to share method amongst groups, no independent assessment of the CLS kernel approximation method

## Approach:

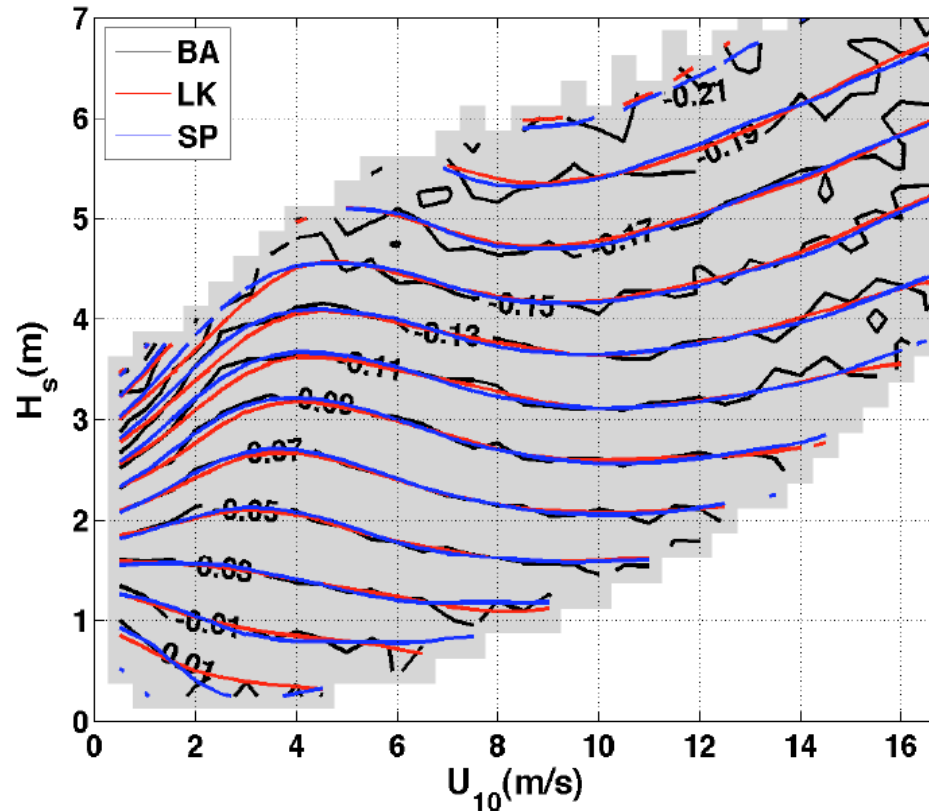
Review of data application and NP methods

- > spline regression smoothing
- > evaluation of SSB with both NP methods in twin experiment

## Expectation:

All 3 NP methods (kernel, spline, wavelets) should yield similar performance. Differences can come in higher dimensional analyses and implementation requirements.

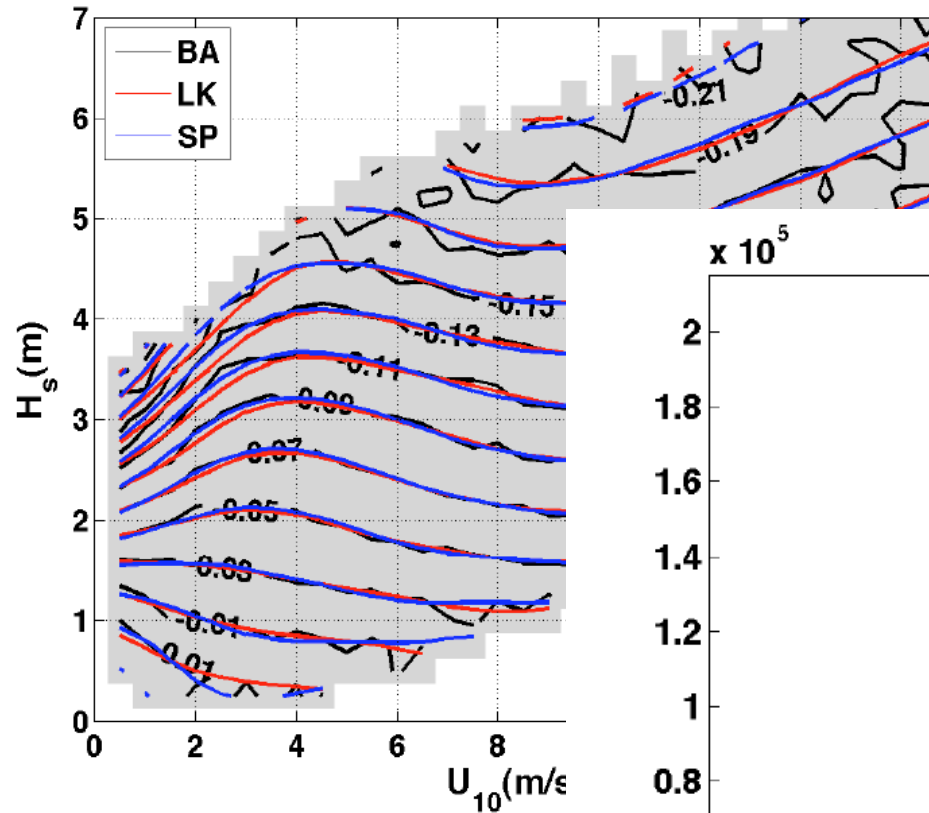
# SSB – spline nonparametric modeling experiment using Jason-1 & WAVEWATCH data 2002



Local linear kernel (LK) and spline method (SP) run on same yearlong data sets  
Compared to high resolution bin average response

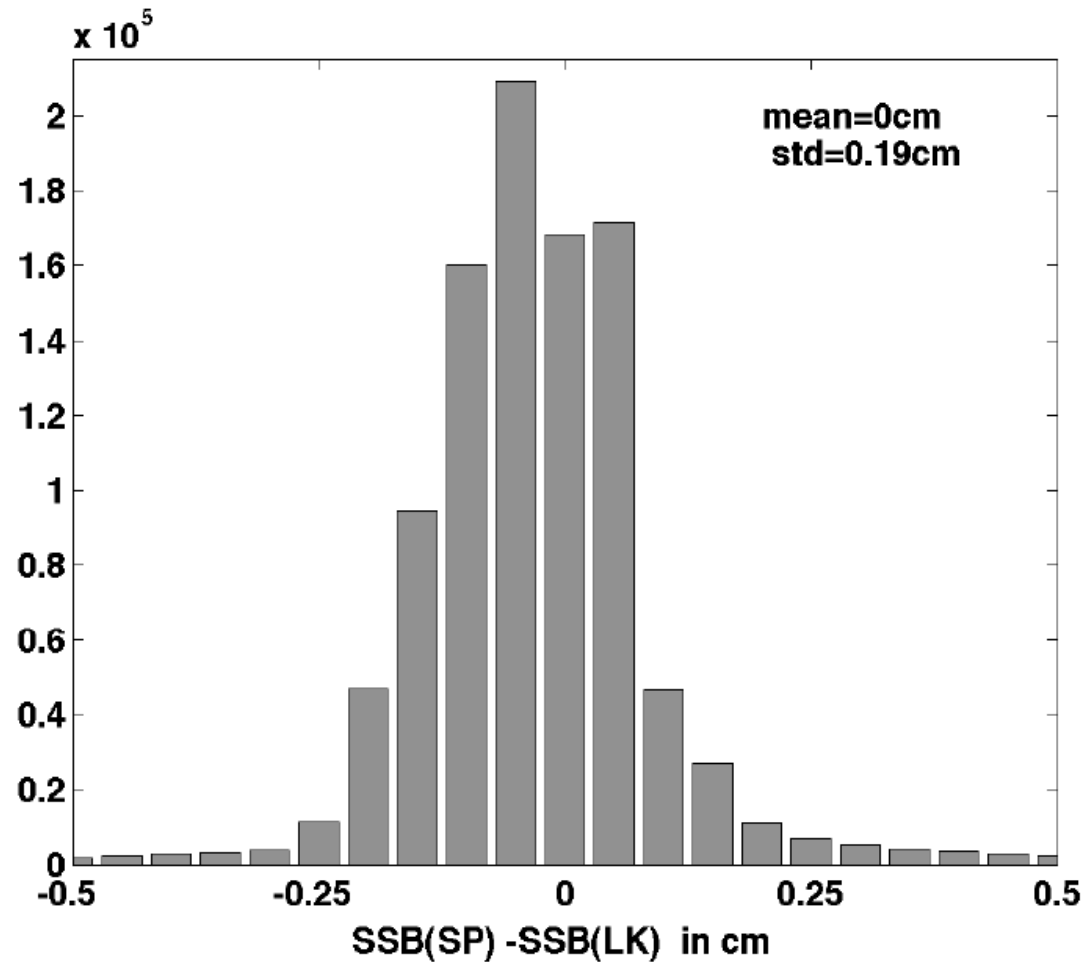


# SSB – spline nonparametric modeling experiment using Jason-1 & WAVEWATCH data 2002



Differences < 2 mm

SP closest to response



# SSB – nonparametric modeling using spline regression

## Study Summary (see also the poster)

- Demonstrated equivalence to 1 mm in data rich portion of solution space
- This affirms that a robust solution from the CLS local linear kernel models in use now by OSTST
- Spline solution approach bring some benefits – Most notably for higher dimensional (3D, 4D,...) SSB estimators
- Source code developed in R and then Matlab – readily imported ( including at CLS)

## REFERENCE:

Feng, H., Shan, Y. L. Li, N. Tran, D. Vandemark, S. Labroue, Spline-based nonparametric estimation of the altimeter sea state bias correction, IEEE Geos. And Rem. Sens. Letters, in press.

# Moving target #1 – ocean wave model output for SSB

## F. Ardhuin – WAVEWATCH 3

### modifications -> improved slopes, Tm

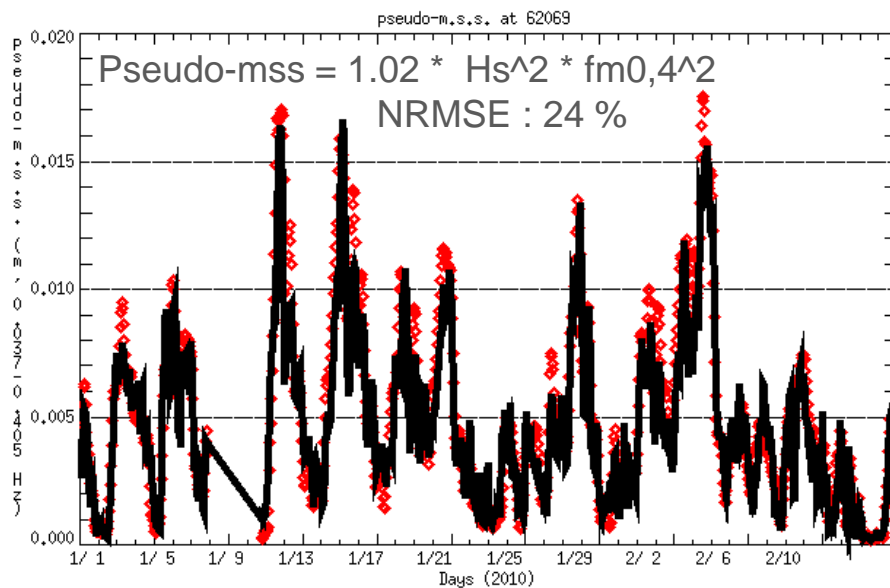
Many different quantities can be estimated from the wave spectrum.

For remote sensing the high frequency tail is very important

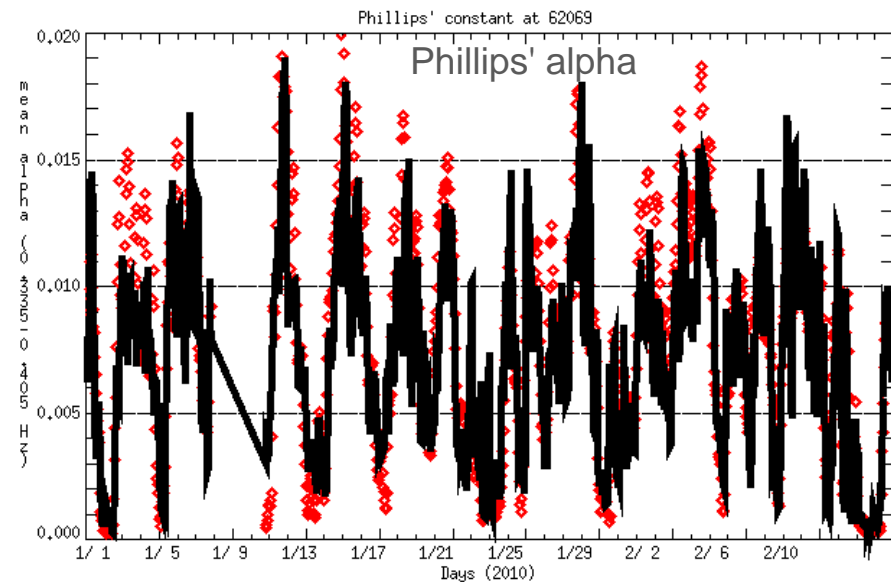
(backscatter of radar, brightness temperature ...)

Unlike ECMWF parameterizations, latest wave dissipation functions (Ardhuin et al. JPO 2009, 2010) have a good skill for estimating the higher moments of the frequency spectrum :

Validation in North-East Atlantic (coastal: « Pierres Noires »)



| NRMSE (%) | RMSE: | Bias (%) | Corr.(r): | S. I.(%) |
|-----------|-------|----------|-----------|----------|
| 23,9      | 0,001 | 8,41     | 0,9409    | 22,3     |



| NRMSE (%) | RMSE: | Bias (%) | Corr.(r): | S. I.(%) |
|-----------|-------|----------|-----------|----------|
| 32,8      | 0,003 | 8,55     | 0,8203    | 31,6     |

# **Cross evaluation of May-2009 wave model outputs from UNH & NCEP WAVEWATCH III and Meteo-France ECMWF-WAM**

**UNH: Hui Feng and Doug Vandemark  
Meteo-France: Lotfi Aouf  
NOAA/NCEP: Arun Chawla  
CLS: Ngan Tran**

**OPAL/University of New Hampshire  
November, 2009**

## Conclusions

- **Wind speed U10 (Fig 1a-b)**

UNH-ww3 uses the same ECMWF wind as MF-WAM; ECMWF wind is slightly higher/lower than NCEP wind in high/low U10 regions (crossing over at ~10 m/s), respectively.

- **Significant wave height, Hs (Fig 2a-b)**

The three Hs products are quite close. Subtle difference among them will be due to the different winds and/or model physics being used.

- **Wind sea wave height, wHs (Fig 3a-b)**

MF-WAM wHs differs with UNH-WW3 and NCEP-WW3. This is most likely due to a difference in spectral partitioning where WAM uses wave age  $< 1.2$  and WW3 uses the highest freq. peak of the spectrum. **This may be a significant issue related to use of WAM for SSB work as it will likely alter any swell-impacted SSB model (e.g. Tran et al 2006).**

- **Mean wave period, Tm (Fig 4a-b)**

**MF-WAM and UNH-WW3 Tm agree well in the mode of their respective distributions, but geographical differences do occur.** We anticipate that NCEP-WW3 Tm would agree with UNH-WW3 Tm because their m0 (i.e. Hs) difference is smaller than that of UNH-WW3 and WAM Hs (Fig 2b) and the wind sea of the two WW3 models agrees quite well.

- **Mean square slope, MSS (Fig 5a-b)**

The considerable differences between UNH-WW3 and MF-WAM MSS are likely due to the fact that energy of high-frequency tail ( $>0.4$  hz) is NOT contained in UNH-WW3 m4 computation but is used in WAM. **This is not an immediate concern for SSB work.**

## Conclusions ( Continue )

- **Sea State Bias SSB( U10, Hs,Tm), (Fig 6a-b)**

SSB estimation error induced ONLY by modeled T<sub>m</sub> bias (i.e. difference) between UNH-WW3 and MF-WAM falls within [-5 5] and [-10,10] mm in the 60% and 80% monthly data population distributions, respectively. Thus 20% of the data generated with WAM would predict SSB at a level of 1 cm different from that using Wavewatch in this month.

### SUMMARY

Slight differences among three products occur due to different winds (ECMWF in UNH-WW3&WAM vs. NCEP in the NCEP-WW3), partitioning (swell and sea between both WW3 and WAM), and model physics (mean period between both WW3 and WAM).

In this comparison, UNH-WW3 output is closest to NCEP-WW3 but they are not identical.

The altimeter SSB one would estimate using WAM data will not be essentially the same as for Wavewatch. Differences exceeding 1 cm do occur and are geographically centered within clearly identified ocean regions – primarily associated with mean wave period wave model differences. Which model T<sub>m</sub> is correct? Does it matter? (as the SSB model is tuned to WW3).

For operational SSB processing, the best choice is still to use the model that created the SSB model, i.e. UNH-WW3. NCEP-WW3 is option 2. To consider WAM - **We need to know why WAM mean wave period is differing with WW3.**

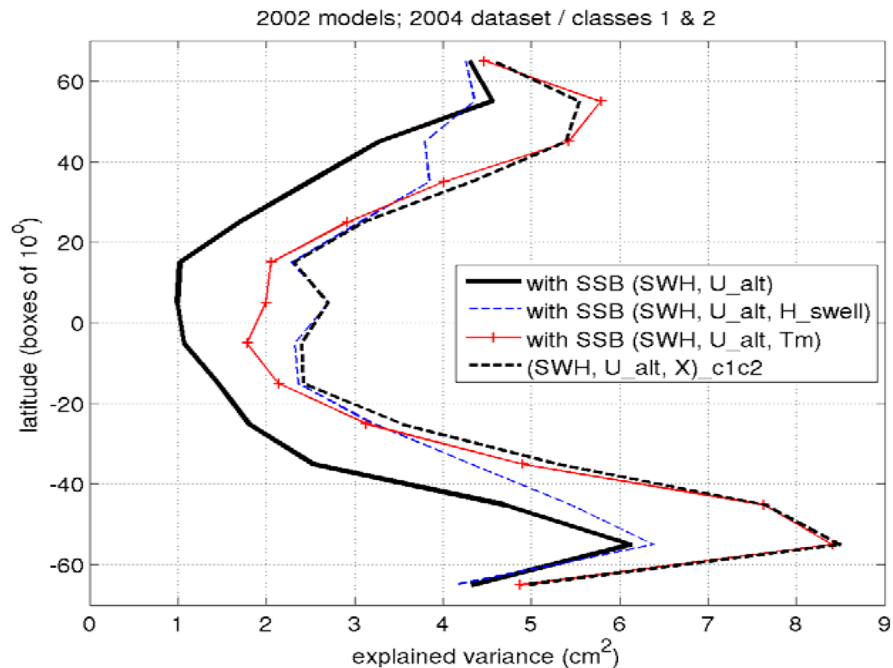
# Moving target #2– SWH and wind under MLE3 and MLE4



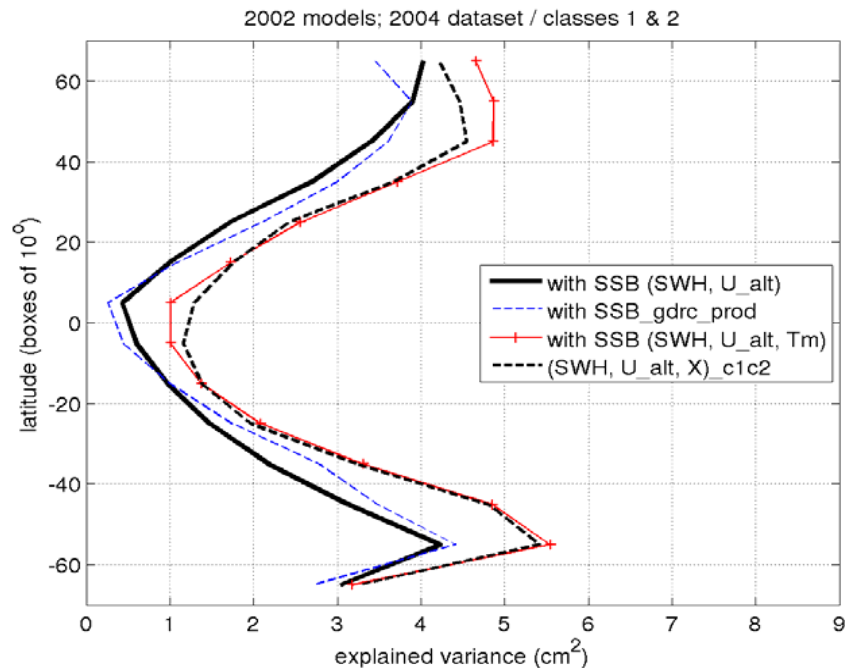
# Improvement Difference

## Reduction of collinear $\Delta$ SSH variance

Jason-1 (1-year) / WW3 v2



GDR\_a



GDR\_c

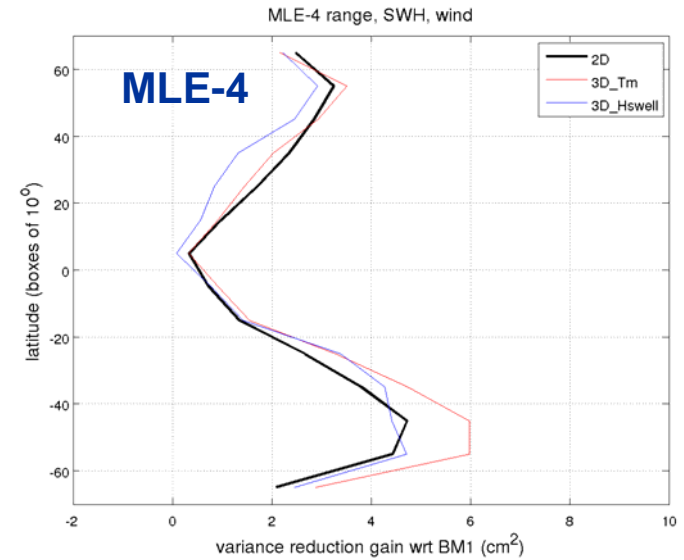
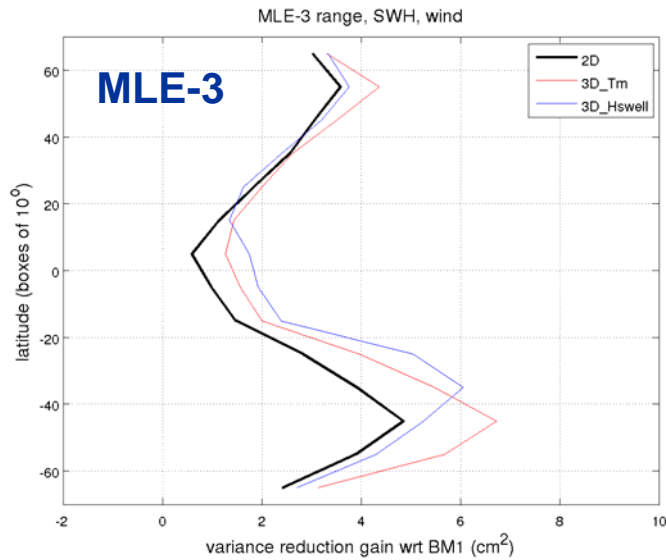
- change of orbit solutions, MLE-3 to MLE-4 retracking algorithms, ...
- to be tested: change of WW3 from v2 to v3.14.

# Improvement Difference

## Reduction of collinear $\Delta$ SSH variance

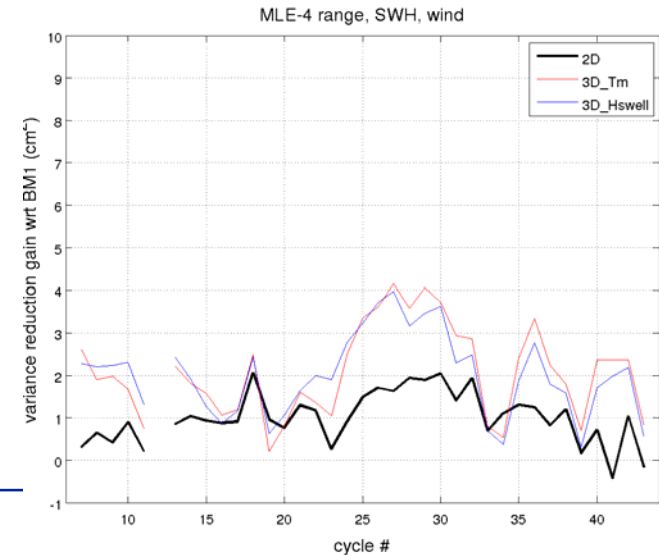
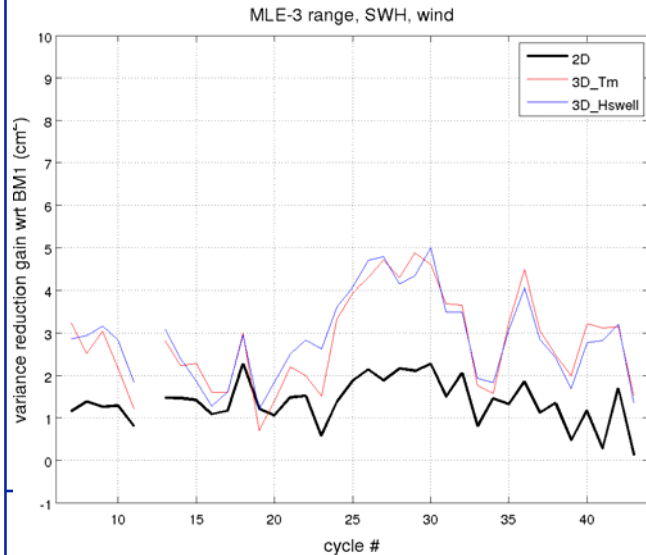
Jason-2 (1-year: cycles 7-43)  
WW3 v3.14

– to be tested: change of WW3 from v2 to v3.14 on Jason-1 data.



BM1\_mle3 = - 3.91%SWH\_mle3

BM1\_mle4 = - 3.85%SWH\_mle4

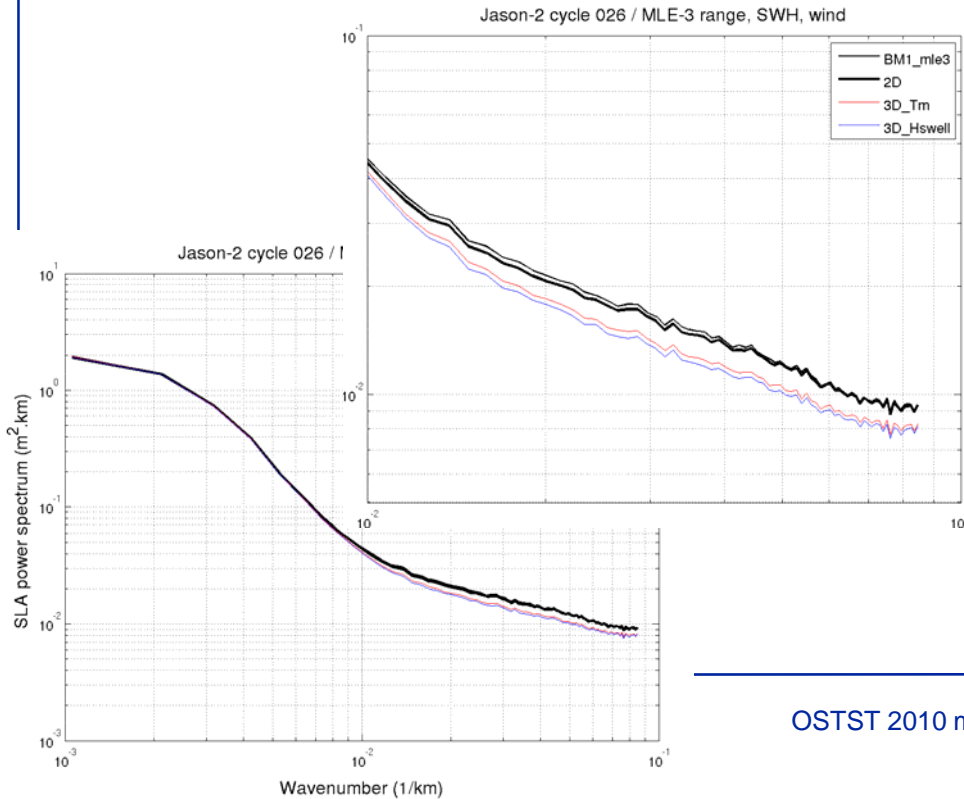
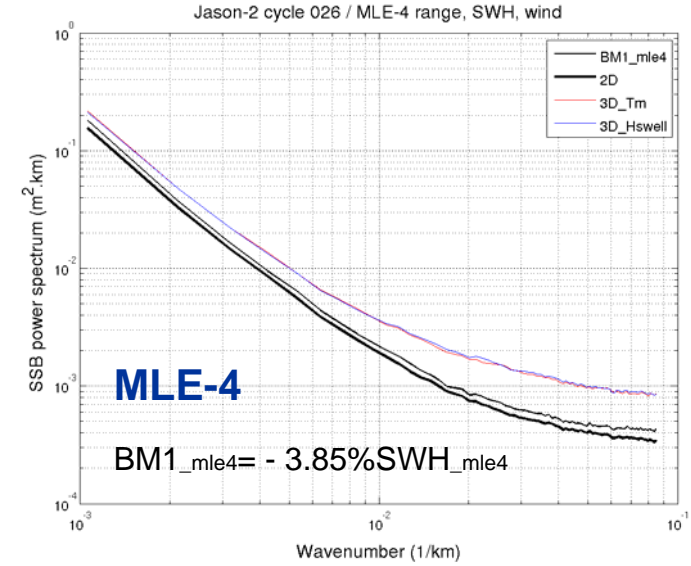
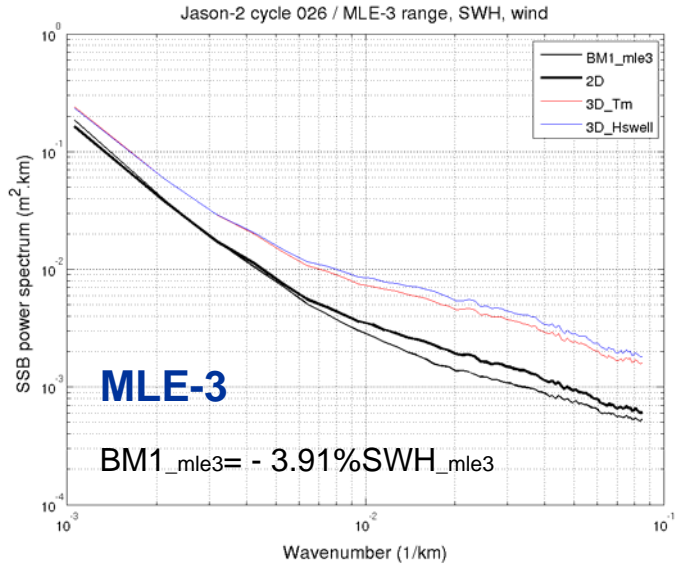


OSTST 2010 meeting - Lisbon

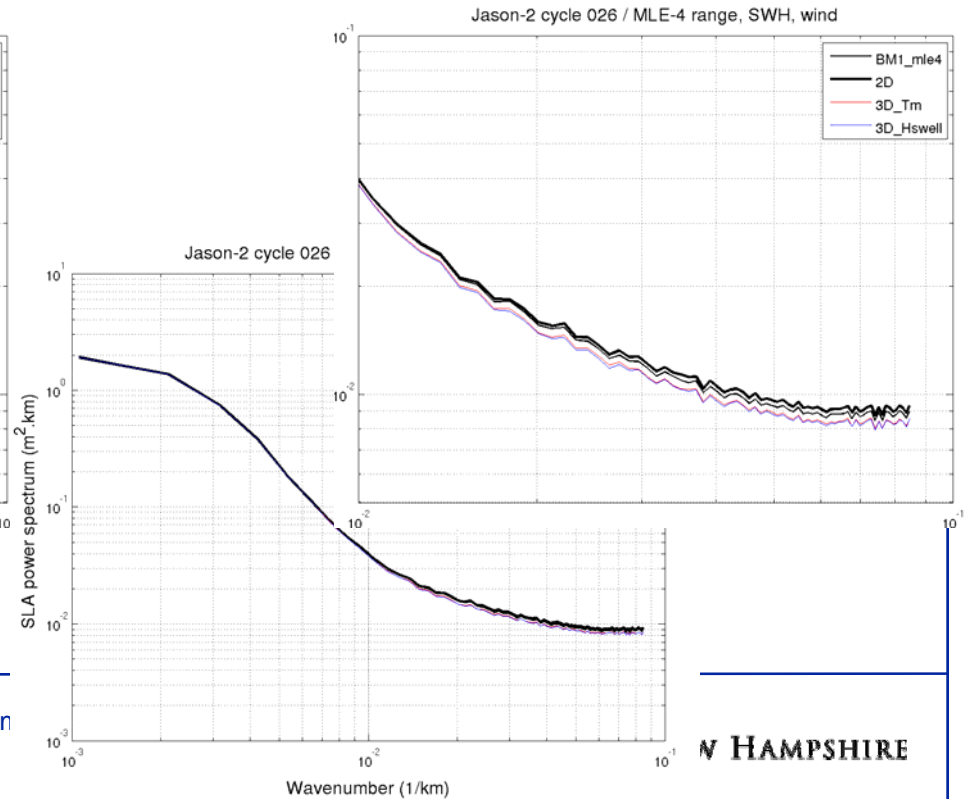
# Improvement Difference Spectral Analysis

Jason-2 (1-year: cycles 7-43)  
WW3 v3.14

– Need to separate impact on  
large and short wavelengths.



OSTST 2010 n



HAMPSHIRE

# SSB correction progress

## Completed

- Alternative/complementary NP solution method for SSB using spline regression
- Long term stable WAVEWATCH III model run for 2000-2010 with cross model evaluations
- Progress in WAVEWATCH physics modifications (Ardhuin) and in use of wave model data for refined SSB models tied to clustering analyses (CNES/CLS SLOOP project)

## Ongoing

- Study to better formalize SSB model impact and validations within and across missions (i.e. best GDR implementations)
- Evaluation of retracking impacts
- Higher dimensional SSB modeling with refined WAVEWATCH data

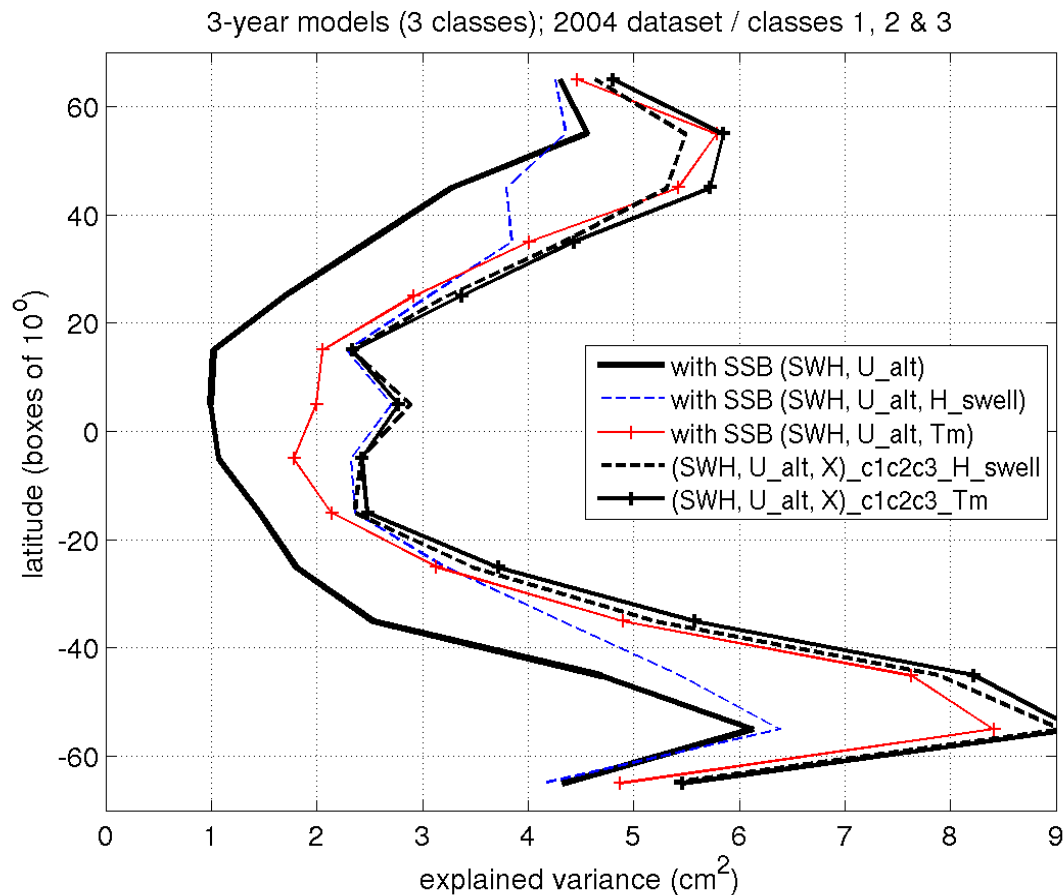


OSTST 2010 meeting - Lisbon



UNIVERSITY of NEW HAMPSHIRE

# New SSB model using added wave model data - also useful for 2D SSB model error assessment



Gain of ~ 0.5% SWH in repeat pass range residual reduction over the NP Jason-1 model

Physically - the new model acts to improve correction associated with wave age change.

## The Error Budget and SSB - Jason-1,2 NP

| <b>Spatial Uncertainty</b>  | <b>Processes</b>  | <b>Estimate</b>                   | <b>Method</b>  |
|-----------------------------|---|-----------------------------------|--|
| a) < 20 km                  | Input (SWH, U) noise or error                                 | < 1 cm rms                        | Evaluation of retracking, prefiltering SWH,U                   |
| b) 20 to 2000 km            | Fronts, coastal waters, swell propagation, wave/current       | 0.5%-1% SWH<br>Unresolved EM bias | Wave model SSB studies, previous literature                    |
| c) >2000 km                 | Wave age quasi-static spatially (continents and storm tracks) | < 5? cm                           | 3D -2D SSB studies, possibly using cal/val or tide gauge sites |
|                             |   |                                   |  |
| <b>Temporal Uncertainty</b> |   |                                   |  |
| d) < 20 days                | Same a) and b) above  |                                   |  |
| e) > 20 days                | As for c), seasonal storm tracks -> swell pools               | < 5? cm                           |  |
|                             |   |                                   |  |
| Absolute Bias               | inherent to model   | 1-2 cm                            | see Gaspar 2002  |
| Drift                       | Drift in inputs (SWH,U)                                       | 1.0 mm SWH<br>0.2 mm U            | 5 cm/yr SWH linear<br>25 cm/s WIND                             |

## Path for future refinement

- **Standard NP SSB:** Improved error determination and stable long term models for each platform
  - Do no harm (maintain absolute bias consistency and limit noise due to SWH, U) but remedy MLE3 vs. MLE4 issues
  - Longer-scale spatial error quantification (impacts on MSS, cal/val etc.)
  - Resolve J1 and J2 issues and perhaps go back to TP retracked for NASA Measures project
- **3 Input SSB:** Alternative SSB solutions for Jason-1,2 from the SLOOP project
  - Complete refined models and document the expected changes
  - Offer as alternative in GDR and/or RADS databases
  - Tradeoff analysis for benefits vs. cost of implementation
    - Apparent gain in longer wavelength/time corrections order 0.5%SWH
    - Wave model adds another data stream to monitor for stability/accuracy



## Global performances with collinear method data from 2002, 2003 & 2004

| Variance explained by different models minus the variance explained<br>by BM1 = -3.8% SWH (cm <sup>2</sup> ) |             |             |             |
|--|-------------|-------------|-------------|
|  | 2002        | 2003        | 2004        |
| <b>SSB (SWH, U_alt)</b>  | <b>2.68</b> | <b>2.85</b> | <b>3.07</b> |
| SSB (SWH, U_alt, H_swell)  | 3.44        | 3.69        | 3.97        |
| <b>SSB (SWH, U_alt, Tm)</b>  | <b>3.94</b> | <b>4.21</b> | <b>4.62</b> |
| SSB (SWH, U_alt, X)_3c_Hswell  | 4.09        | 4.58        | 4.98        |
| <b>SSB (SWH, U_alt, X)_3c_Tm</b>   | <b>4.25</b> | <b>4.76</b> | <b>5.16</b> |

var ( $\Delta$ SSH\_withSSB\_BM1) – var ( $\Delta$ SSH\_withSSB\_tested)

- Differences 3D-2D models : ~1.39 cm<sup>2</sup>
- Differences **class-based**-2D models : ~1.86 cm<sup>2</sup>